FORM 4

to Section 16. Form 4 or Form 5 obligations may continue. See

Instruction 1(b).

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person* <u>Trojanowski Justin</u>					2. Issuer Name and Ticker or Trading Symbol Tempest Therapeutics, Inc. [ TPST ]										k all app Direc	licable) tor	ng Pei	rson(s) to Is	vner
(Last)	,	(First) (Middle)				3. Date of Earliest Transaction (Month/Day/Year) 06/28/2024								V	belov	er (give title v) Corporate	e Con	Other (s below) atroller	эресіту П
2000 SIERRA POINT PARKWAY, SUITE 400					4. If Amendment, Date of Original Filed (Month/Day/Year)								Line)	<u>·</u>					
(Street) BRISBANE CA 94005						Form filed by One Reporting Per Form filed by More than One Re Person										•			
(City) (State) (Zip)					Rule 10b5-1(c) Transaction Indication  Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.														
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)  2. Transac Date (Month/Da					Exec ay/Year) if an		Deemed cution Date, ny nth/Day/Year)		3. Transaction Code (Instr. 8) 4. Securiti Disposed 5)		es Acquired (A) Of (D) (Instr. 3,		) or 4 and		ties cially I Following	Forn (D) o	n: Direct or Indirect nstr. 4)	7. Nature of Indirect Beneficial Ownership	
									Code	v	Amount	(A) (D)	or Pr	ice		ed ction(s) 3 and 4)			(Instr. 4)
Common Stock 06/28/2					2024				P		7,500	A	\$	2.16	22	2,168(1)		D	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	emed on Date, Day/Year)		Transaction Code (Instr.		of		Exerci on Da Day/Y		7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)		De Se (In	Price of rivative curity str. 5)		s Blly	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	(A)	(D)	Date Expiration Exercisable Date Ti		Title	Amou or Numb of Shares	er								

## Explanation of Responses:

1. Includes 14,668 shares acquired under the Issuer's stock purchase plan.

/s/ Nicholas Maestas, 07/02/2024 Attorney-in-Fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.